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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/749,660	12/28/2000	Manoj Khare	2207/9865	8718

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EXAMINER	
THAI, TUAN V	

ART UNIT	PAPER NUMBER
2186	11

DATE MAILED: 06/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/749,660	KHARE ET AL.	
	Examiner	Art Unit	
	Tuan V. Thai	2186	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on *09 April 2004*.

2a)☒ This action is **FINAL**. 2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) *1-29* is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) *1-29* is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on *13 April 2001* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

Part III DETAILED ACTION

Response to Amendment

1. This office action is in response to Applicant's communication filed April 09, 2004. This amendment has been entered and carefully considered. Claims 1-29 remain pending in the application.

2. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.

Claim Objections

3. Claim 2 remains objected to for the following reasons:

Claim 2 should be changed to be dependent on claim 1 instead of itself (claim 2) as being claimed. Correction is required.

4. Applicant's arguments with respect to claims 1-29 have been considered but are deemed to be moot in view of the new grounds of rejection.

Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

6. Claims 1-6, 12-13, 15-17, 19-25, 31-32, 34, 36, 47-51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baumngartner et al. (USPN: 6,275,907); hereinafter Baumngartner; in view of Arimilli et al. (USPN:5,895,484); hereinafter Arimilli.

As per claim 1, Baumngartner discloses the invention as claimed including a method for reducing memory latency in a multi-node architecture [6] comprises receiving a speculative memory read request at a home node before results of a cache coherence protocol (MESI) are determined (e.g. see column 4, lines 29 et seq.; lines 63-67; figure 3A, block 90; and column 9, lines 18 et seq.); initiating a read to memory to complete the speculative memory read request (e.g. see column 9, lines 34 et seq.). Baumngartner, with one exception, does not particularly teach completing said memory read request before results of the cache coherence protocol are determined. Arimilli, in his teaching of method and system for speculatively accessing a cache

memory data within a multiprocessor data-processing system; clearly discloses the missing element that known to be required in the system of Baumngartner in order to arrive at Applicant's current invention wherein Arimilli discloses completing speculative memory read request prior to the determining/ receiving of the cache coherence protocol (e.g. see column 5, lines 66 et seq.). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the current invention was made to employ the teaching of Arimilli by allowing the completing of the memory read request before results of the combined cache coherence protocol are determined for that of Baumngartner's invention. By doing so, Arimilli clearly states that it would tremendously reduce the intervention latency and the overall SMP system performance is significantly improved, therefore being advantageous (e.g. see column 6, lines 1-3).

As per claim 2, buffering results of the read to memory is equivalent taught as once the requested cache line is supplied to cache hierarchy of the processor, the requested cache line is loaded into a register/buffer within processor core 12 (e.g. see column 9, lines 40-43);

As per claims 3 and 4, dropping the results of the read to memory on a buffer full condition or if a cancel command is received is equivalently taught as canceling a load-reserve

instruction if cancel command is received, then reissue the read to complete memory request; also noted the Rerun of the read request and retry of the AStaIn vote (e.g. see column 8, lines 21 et seq.; column 9, lines 52 et seq.; column 10, lines 7-8, also lines 61 et seq.);

As per claim 5, Baumgartner discloses forwarding results of the second read to memory requester (e.g. column 12, lines 54 et seq.);

As per claim 6, Baumgartner further discloses that if a confirm command is received before results of the speculative memory read which issued by the requesting node are dropped, forwarding the results of the read to a requester as being equivalent to if a determination is made at block 192 that a reservation cancelling event has not been detected, the process proceeds directly to block 200 (e.g. see column 13, lines 8 et seq.);

As per claim 7, wherein the speculative memory read request is issued by the requesting node (e.g. see column 8, lines 2-4);

As per claim 8, Baumgartner discloses receiving the results of the read at the coherence agent and forwarding the results of the read to the requesting node memory (e.g. see column 10, lines 35-38);

As per claim 9, Baumngartner discloses the invention as

claimed including a method for reducing memory latency in a multi-node architecture [6] comprises issuing a speculative memory read request to a home node before results of a cache coherence protocol (MESI) are determined (e.g. see column 4, lines 29 et seq.; lines 63-67; figure 3A, block 90; and column 9, lines 18 et seq.); initiating a read to memory that the home node is taught as data stored within each system memory 18 ***can be requested, accessed,*** and modified by any processor 10 within NUMA computer system 6 (e.g. see column ...under memory coherency, because..); initiating the cache coherency protocol (e.g. see column 7, lines 16 et seq.). .). Baumngartner, with one exception, does not particularly teach completing said memory read request before results of the cache coherence protocol are determined. Arimilli, in his teaching of method and system for speculatively accessing a cache memory data within a multiprocessor data-processing system; clearly discloses the missing element that known to be required in the system of Baumngartner in order to arrive at Applicant's current invention wherein Arimilli discloses completing speculative memory read request prior to the determining/ receiving of the cache coherence protocol (e.g. see column 5, lines 66 et seq.). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the current invention was made to

employ the teaching of Arimilli by allowing the completing of the memory read request before results of the combined cache coherence protocol are determined for that of Baumngartner's invention. By doing so, Arimilli clearly states that it would tremendously reduce the intervention latency and the overall SMP system performance is significantly improved, therefore being advantageous (e.g. see column 6, lines 1-3).

As per claim 10; updating a memory status relating to the results in a table after the results of the cache coherence protocol (e.g. see column 5, lines 59 et seq.);

As per claim 11; initiating a status look-up to determine the caching status of the requested memory (e.g. see column 7, lines 21 et seq.);

As per claim 12, Baumgartner discloses issuing a command to the home node if the caching status is determined to be in an invalid state or shared state (e.g. see column 4, lines 29 et seq.);

As per claim 13, snooping a node with the exclusive copy of the requested memory cache (e.g. see column 8, lines 33 et seq.; column 11, lines 30 et seq.);

As per claims 14 and 15, determining whether the exclusive copy of the requested memory is clean or dirty, and issuing a confirm command for clean requested memory (e.g. see column 11,

lines 33 et seq.; also see table VI);

As per claim 16, the further limitation of issuing a cancel command to the home node if the exclusive copy of the requested memory is dirty (being modified) is embedded in the system of Baumgartner and being taught to the extent that it is being claimed, for example, Baumgartner clearly discloses the cache line which is remotely held can make a transition from Exclusive to Modified (dirty), in addition with the implementation of the MESI protocol, the cancellation of the requested command should be existed in order to guarantee the coherency within the system;

As per claim 17, Baumgartner discloses receiving a snoop result which includes a copy of the requested memory and updating a memory status relating to the requested memory in a table (e.g. column 7, lines 21 et seq.; table VI);

As per claim 18, Baumgartner discloses receiving the requested memory and forwarding the requested memory to a requesting node (e.g. see column 10, lines 35-38);

As per claims 19-23 and 24-29, they encompass the same scope of invention as to that of claims 1-8 and 9-18 except they are drafted as apparatus format rather than method format, the claims are therefore rejected for the same reason as being set forth above; noting that Baumgartner discloses processor 12, system memory 18 and node controller 20 as being illustrated in figure

1, column 3, lines 10 et seq.);

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tuan V. Thai whose telephone number is (703) 305-3842. The examiner can normally be reached on from 6:30 A.M. to 4:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mathew M. Kim can be reached on (703)-305-3821. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through

Private PAIR only. For more information about the PAIR system, see ***http://pair-direct.uspto.gov***. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TVT/May 29, 2004

Tuan V. Thai

PRIMARY EXAMINER

Group 2100